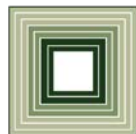




Joint Meetings of the House and Senate Appropriations Committee on Justice and Public Safety



FISCAL RESEARCH DIVISION
A Staff Agency of the North Carolina General Assembly

Joint Appropriations Committee on Justice and Public Safety

Overview: DPS Administration & Commissions, National Guard, & Emergency Management

Sean Hamel & Mark White

March 24, 2021

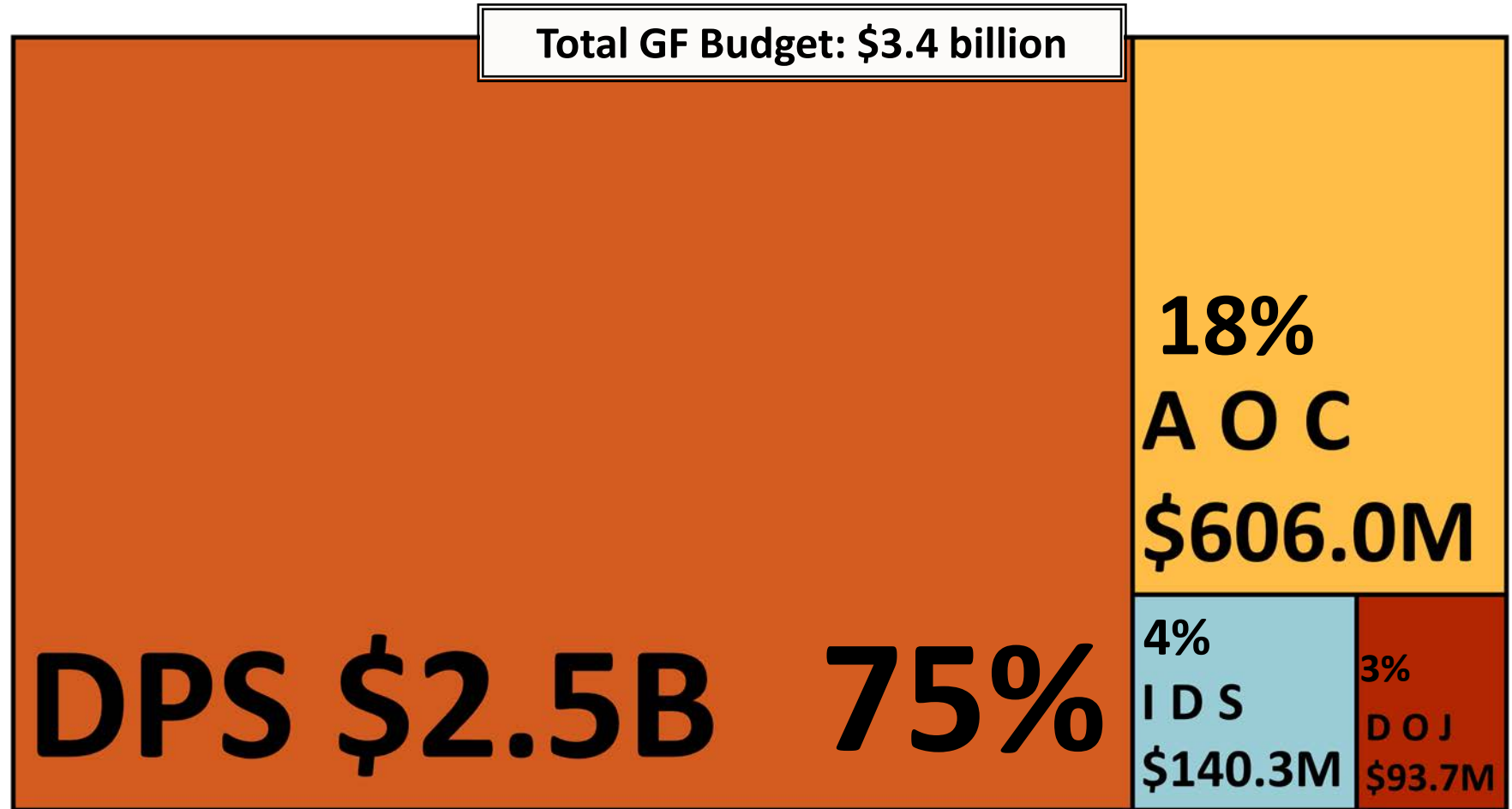


FISCAL RESEARCH DIVISION
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Overview Topics

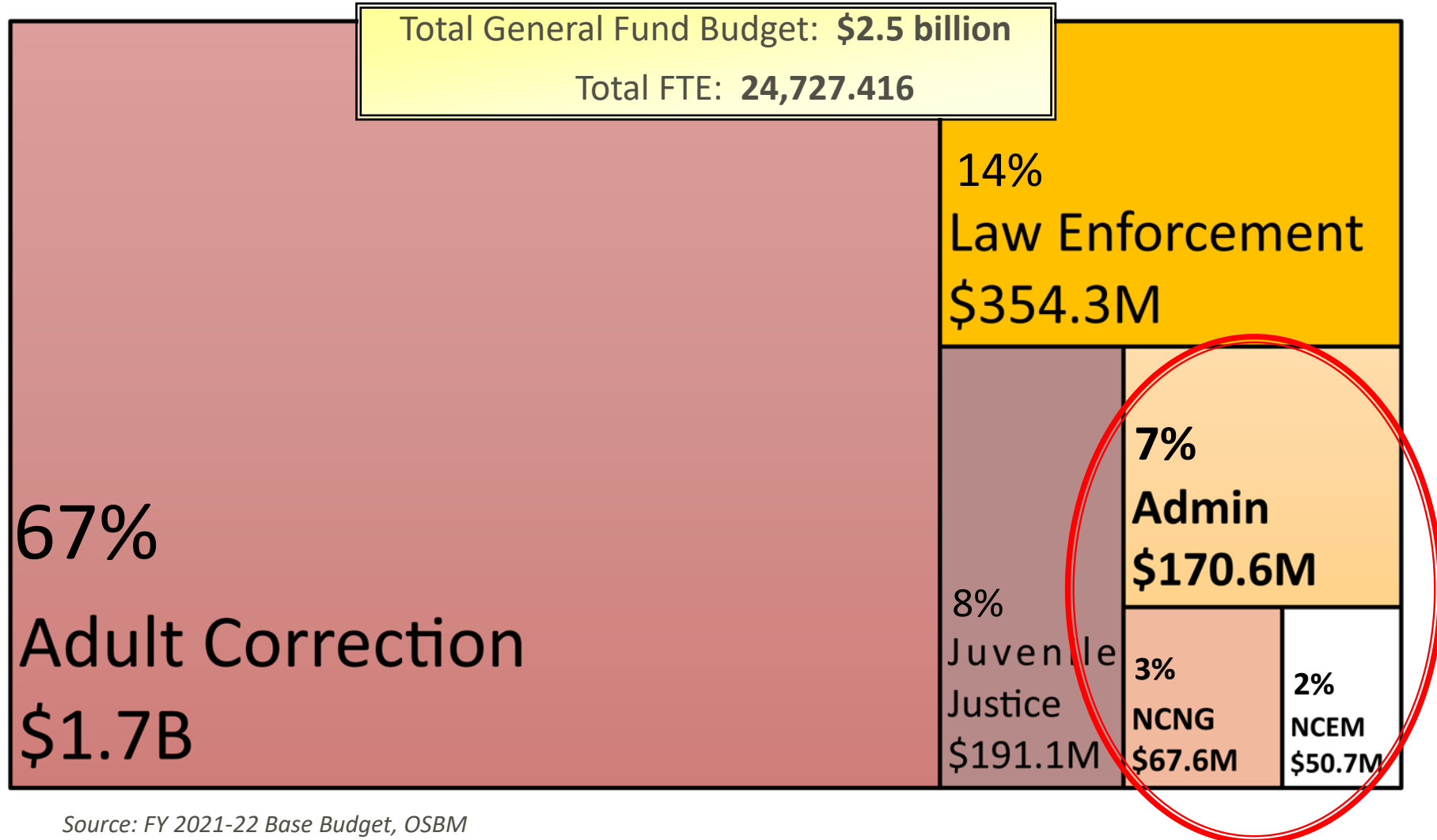
- DPS Administration and Commissions
- North Carolina National Guard
- North Carolina Emergency Management
 - NC Office of Recovery and Resiliency

JPS General Fund Base Budget Expenditures by Agency FY 2021-22



Source: FY 2021-22 Base Budget

DPS Base Budget by Function FY 2021-22



DPS Administration

Requirements:

\$170.6 M

Receipts:

\$91.2 M

Net General Fund

Appropriation:

\$79.4 M

Total FTE:

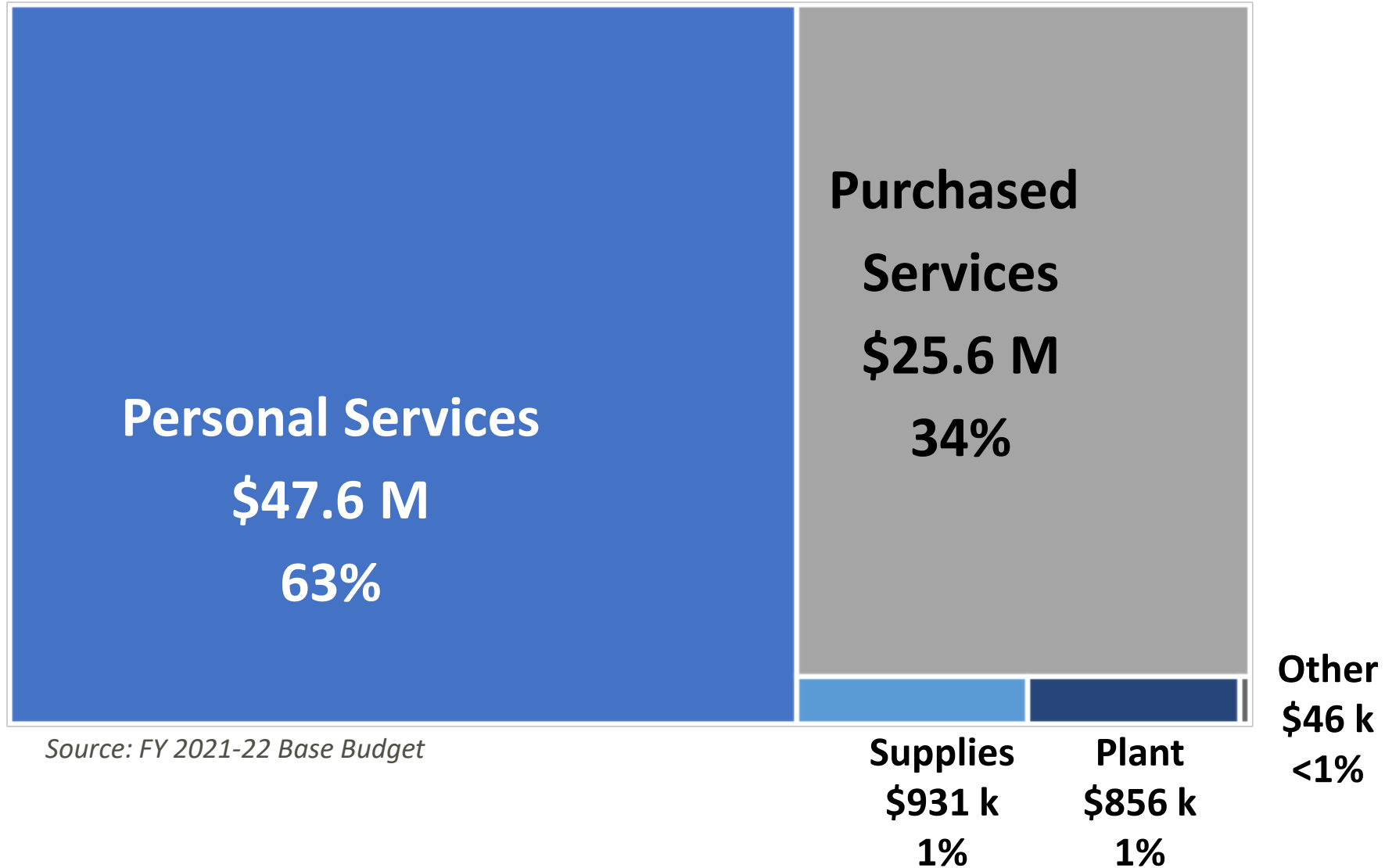
591.86

Source: FY 2021-22 Base Budget

- Human Resources
- Controller
- IT
- Budget Management and Analysis
- Victim Services
- Governors Crime Commission
- Central Engineering
- Purchasing and Logistics
- Legislative Affairs

Source: DPS

DPS Administration by Account



Source: FY 2021-22 Base Budget

Human Resources

- Manage position and employee transaction
- Provide staff training
- Consults and investigates EEO complaints
- Health and Safety Services

Fiscal Year
2019-20

Expenditures:
\$12.2 M

Total FTE:
238

Source: DPS

Source: DPS

Controller

- Oversight of DPS financial operations
- Ensures resources and funds are received, disbursed, recorded and accounted for by law and GAAP

Fiscal Year
2019-20

Expenditures:
\$7.1 M

Total FTE:
71

Source: DPS

Source: DPS

IT

- Operates under GS 143B-1325
 - Service from DIT
- DIT/DPS group performs all related IT services
 - Operations
 - Application development
 - IT Security

Fiscal Year
2019-20

Expenditures:
\$14.8

Source: FY 2021-22 Base Budget

Source: DPS

Budget Management & Analysis

- Monitors spending to reconcile against budget
- Prepares budget revisions to move funds as necessary
- Monitors lapse salary to ensure Department needs are met

Fiscal Year
2019-20

Expenditures:
\$802 k

Total FTE:
8

Source: DPS

Source: DPS

Governor's Crime Commission (GCC)

- Advisory body to the State on crime and justice issues
- Serves as State Administering Agency (SAA) for federal DOJ grants
- Open Grants:
 - 295
 - \$122 M

Requirements:

\$83.1 M

Receipts:

\$82.1 M

Net General Fund Appropriation:

\$1 M

Total FTE:

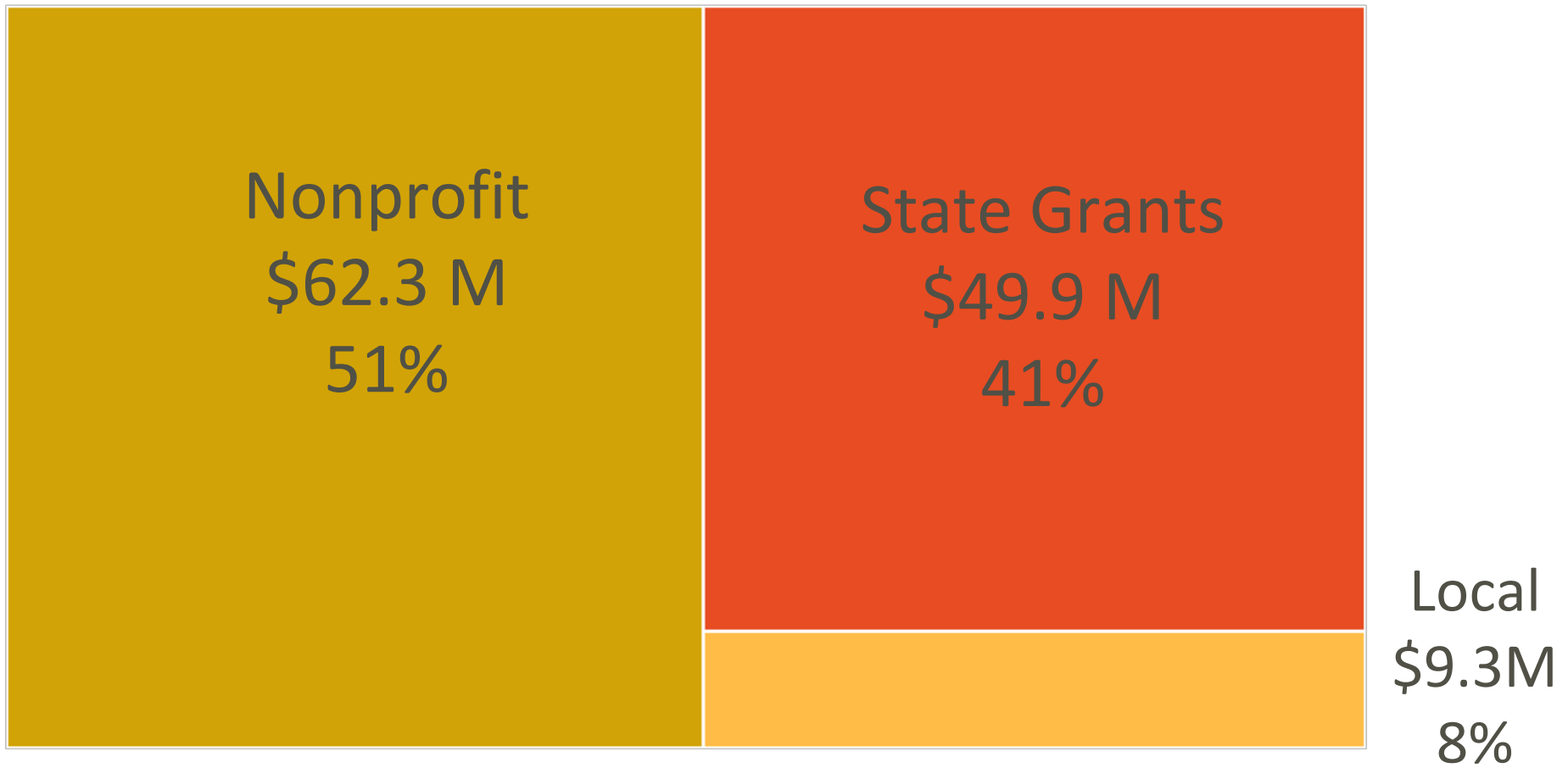
38

Source: FY 2021-22 Base Budget

Source: DPS

GCC Grants

Total \$122 M



Source: DPS

Victim Services

- **Crime Victim Compensation:**
reimburses citizens for medical expenses and lost wage
 - FY 2019-20 Total Paid: \$6.4 M
- **Rape Victim Assistance Program:**
provides free forensic exams for victims
 - FY 2019-20 Total Paid: \$910 k
- **NC SAVAN:** automated victim notification
- **Victim Services for Prisons, Probation & Parole:** assists crime victims and families

Requirements:

\$12.5 M

Receipts:

\$6 M

Net General Fund

Appropriation:

\$6.5 M

Total FTE:

22.5

Source: FY 2021-22 Base Budget

Source: DPS

ABC Commission

- Oversees the sale of liquor in North Carolina
- Enterprise fund from bailment charges applied per liquor case sold
- Staff dedicated to permitting, hearings, audit, etc.

Requirements:

\$10 M

Receipts:

\$10 M

Net General Fund

Appropriation:

\$0

Total FTE:

49

Source: FY 2021-22 Base Budget

Source: DPS

Overview Topics

- ~~DPS Administration and Commissions~~
- North Carolina National Guard
- North Carolina Emergency Management
 - NC Office of Recovery and Resiliency

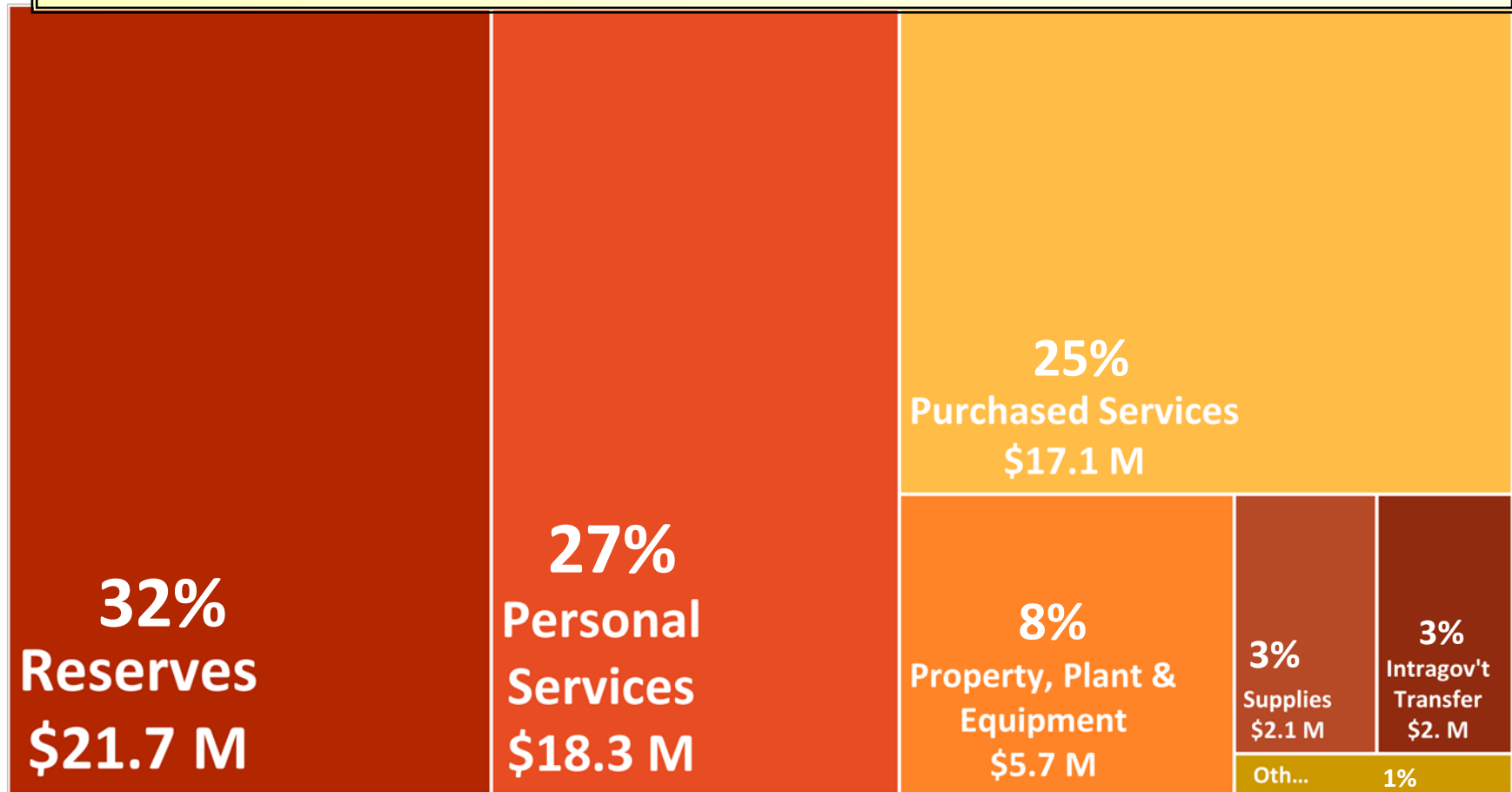
NC National Guard

Requirements: **\$67,601,428**

Net GF Appropriation: **\$8,892,219**

Receipts: **\$58,709,209**

Total FTE: **264.501**



Source: NC IBIS, Worksheet I

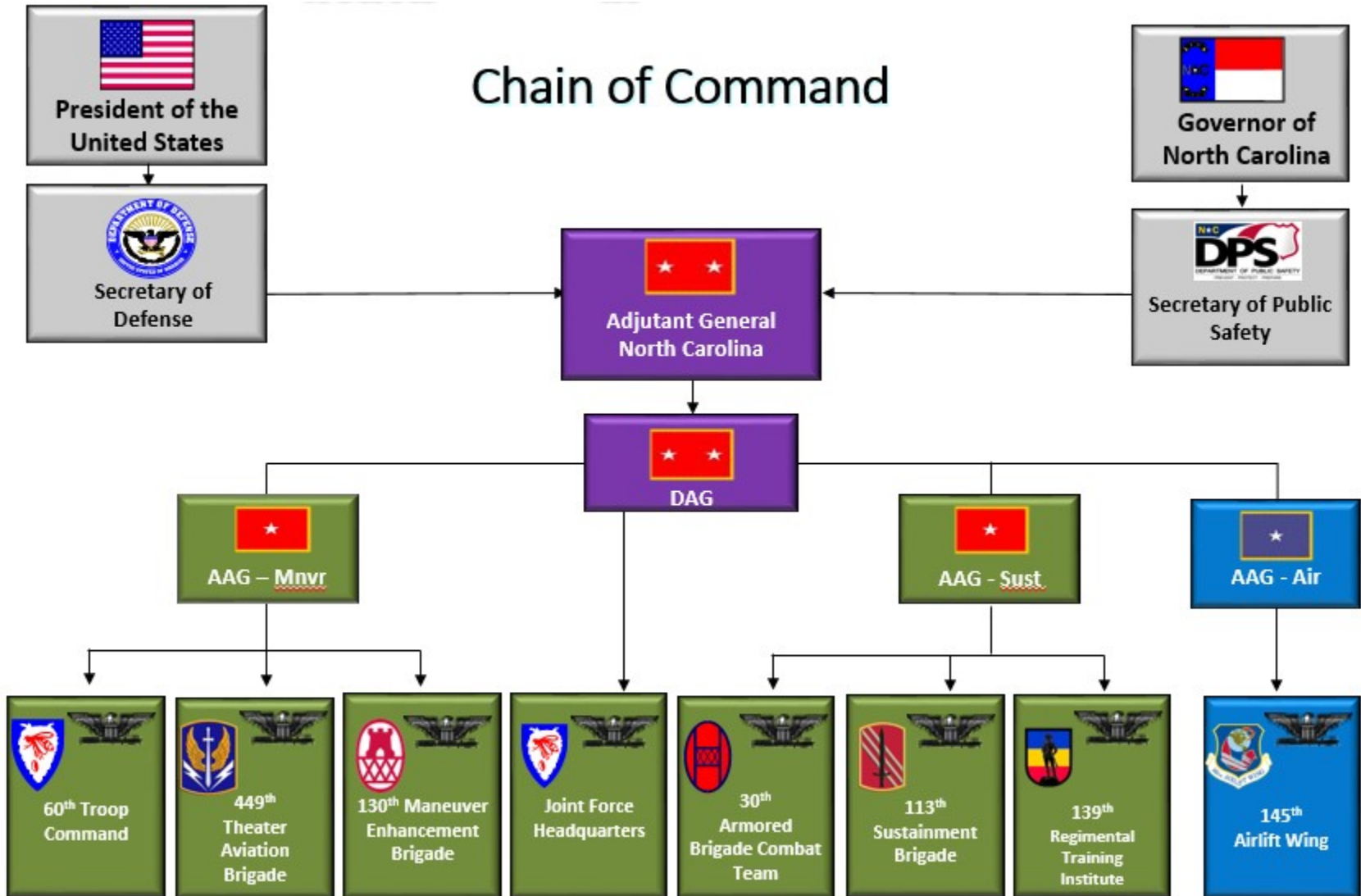
NC National Guard

- Dual Mission: State and Federal
 - **State**: To organize, man, equip, train and deploy statewide in support of State missions as directed by the Governor
 - **Federal**: To organize, man, equip, train and deploy globally in support of federal missions as directed by the President and Secretary of Defense.



NC National Guard

Chain of Command



Guard Positions

- 100% Federally Funded Positions
 - 9,588 NC Army National Guard Soldiers
 - 1,736 Full-Time Manning Staff (Army)
 - 1,477 NC Air National Guard Airmen
 - 444 Full-Time Manning Staff (Air)
 - TOTAL: 13,245 positions (11,324 Army, 1,921 Air)
- State Employees
 - 150 Federal/State Split (most 75%-25%)
 - 20 100% State
 - 93 100% Federal receipts
 - TOTAL: 263 positions



Paying for the Guard

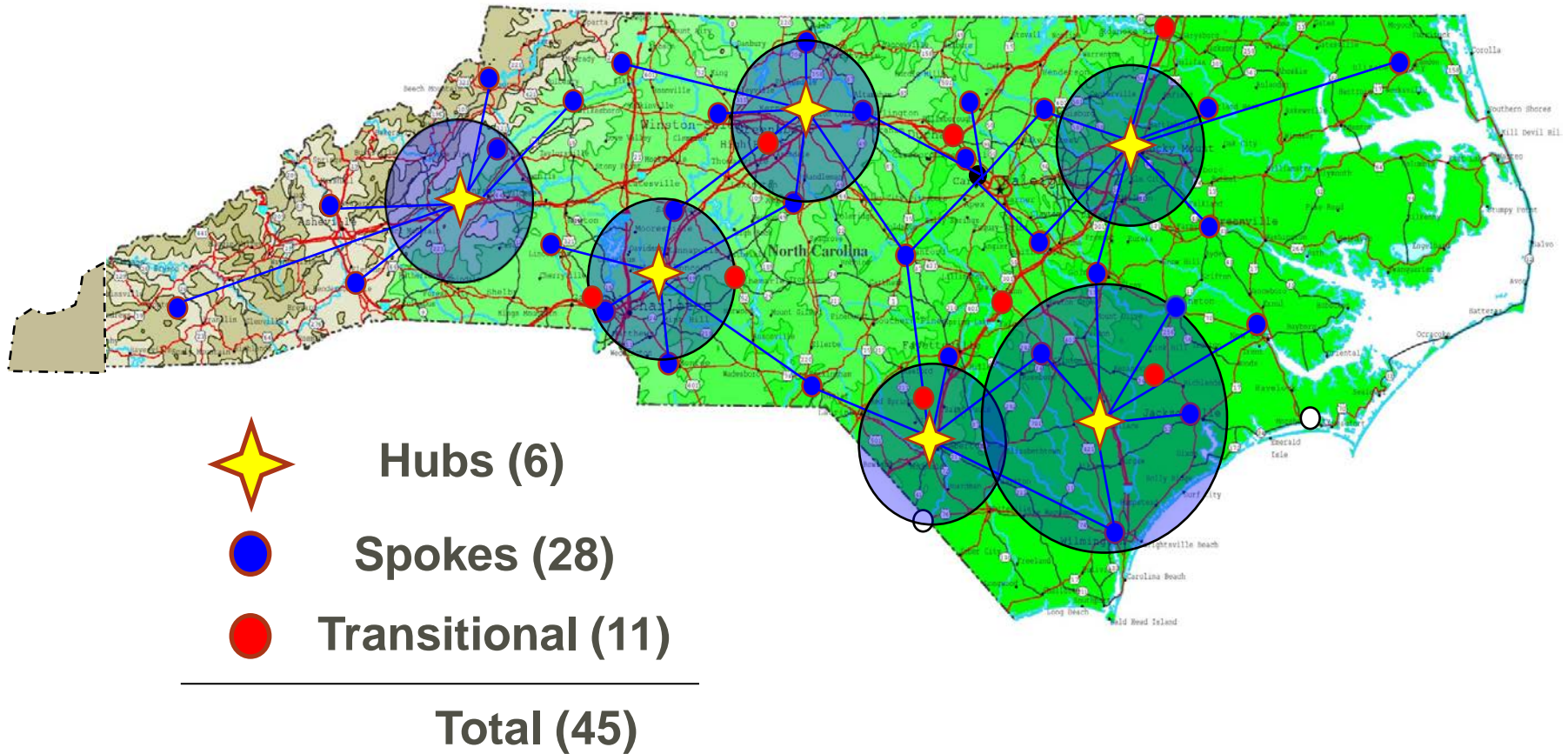
- Guard actions paid for by government served:
 - Federal:
 - Drill (One weekend/month)
 - Annual Training (Two weeks/year)
 - Federal Active Duty
 - State:
 - State Active Duty
 - Other:
 - Presidential Emergency Declarations:
75% Federal, 25% State
 - Missions in Other States: Supported State



National Guard Readiness Centers

- Most State funding for NCNG is for operations and maintenance at the Readiness Centers (RCs)
- Currently 79 RCs located across the State
- Armory capital costs are split 75% federal/25% State
- **83%** of armories assessed as in poor or failing condition; new Master Plan implementation underway
 - Moving to Hub-and-Spoke concept

National Guard Readiness Centers Master Plan



Source: NCNG

NCNG: Other Services

- Adjutant General's Office
 - Oversees daily operation of NCNG
 - Administration
 - Planning, logistics, and equipment for units
- Family Assistance Centers
 - 10 centers across the State
 - Provides benefit and planning services to service members and their families



Tarheel ChalleNGe

- Program serving high school dropouts
- 22-week residential program, 12.5 month mentorship follow-up; free for attendees
- Funding split between federal and State governments
- 450 students graduate annually
- Two campuses: Salemburg and New London



NCNG COVID-19 Response

- Activated to assist with various tasks
 - PPE distribution and transportation
 - Food Bank support
 - School Lunch Programs
 - COVID-19 Testing
 - Ag. Warehouse support
 - Vaccine sites
- 100% federally funded
 - Overall cost estimate: \$40.8 million

NCNG: Recent Budget Actions

- 2017-19 Biennium:
 - 3 FTE (starting FY 2017-18) to support operations at the future Morganton Readiness Center
 - \$200,000 recurring for State Active Duty training
- 2019-21 Biennium:
 - None

National Guard



Overview Topics

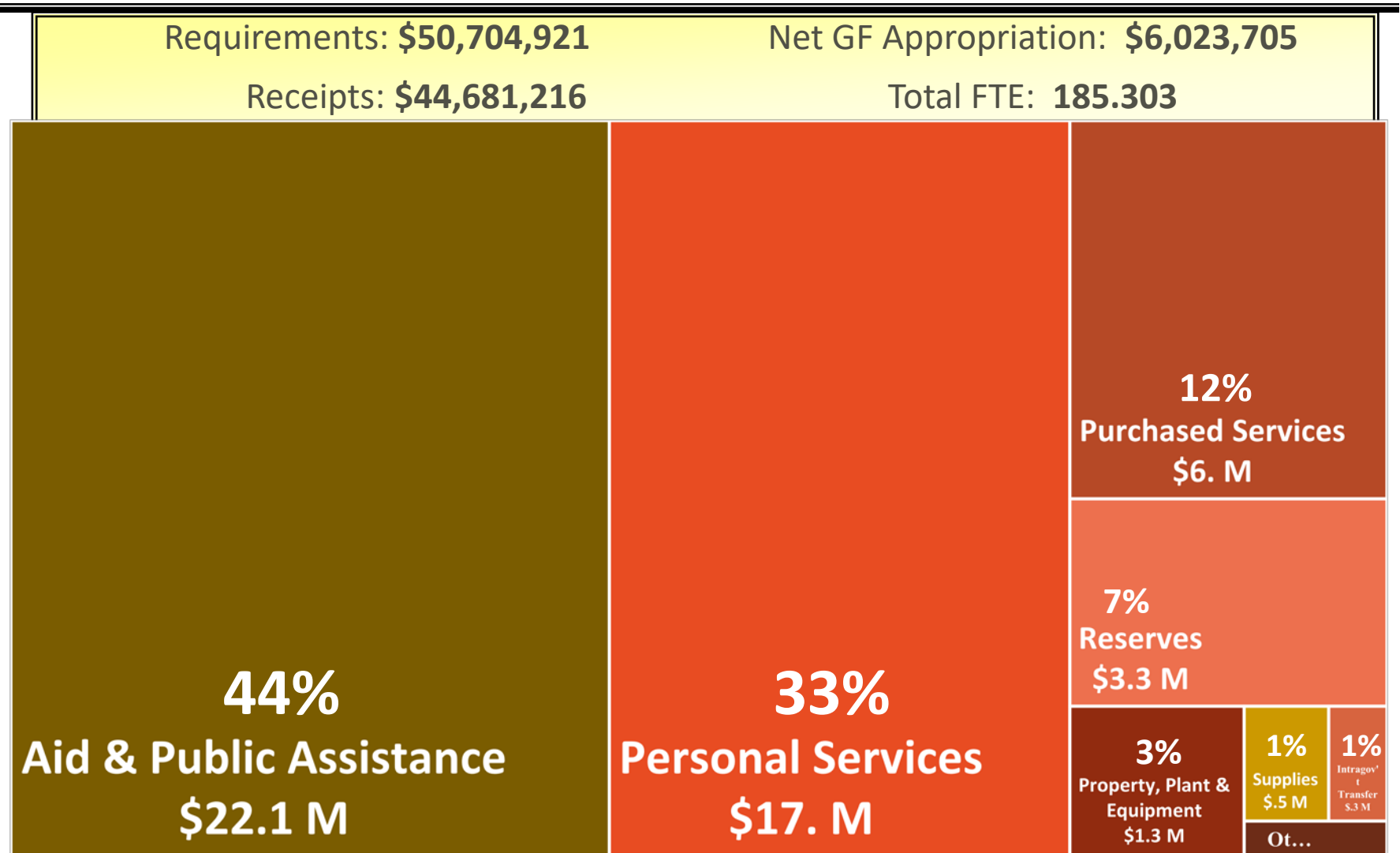
- ~~DPS Administration and Commissions~~
- ~~North Carolina National Guard~~
- North Carolina Emergency Management
 - NC Office of Recovery and Resiliency

Emergency Management

- Established in 1977 by the Emergency Management Act
- Mission: To help North Carolina citizens effectively prepare for, respond to, recover from, and mitigate against all hazards and disasters.

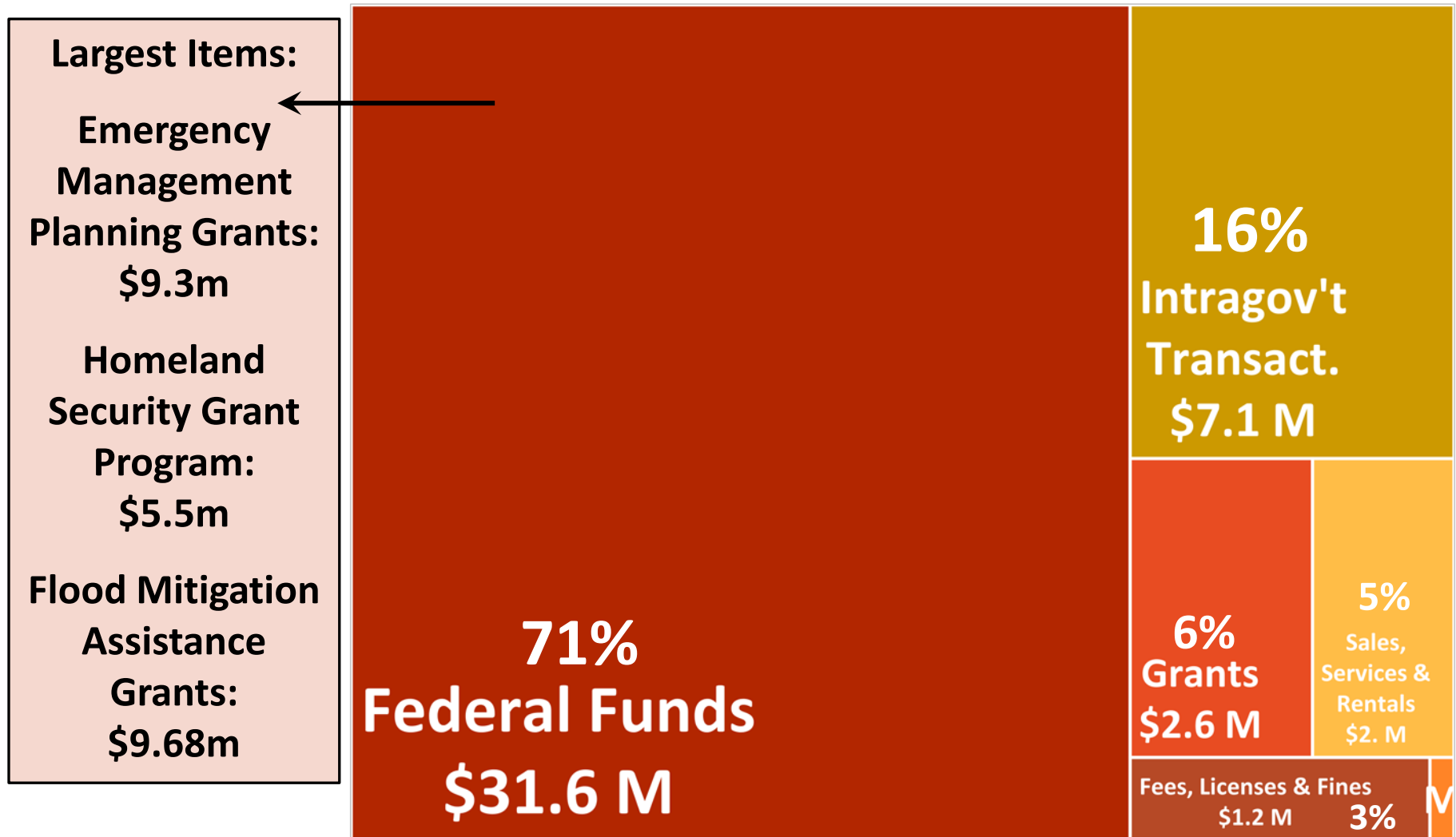


Emergency Management Base Budget FY 2019-20

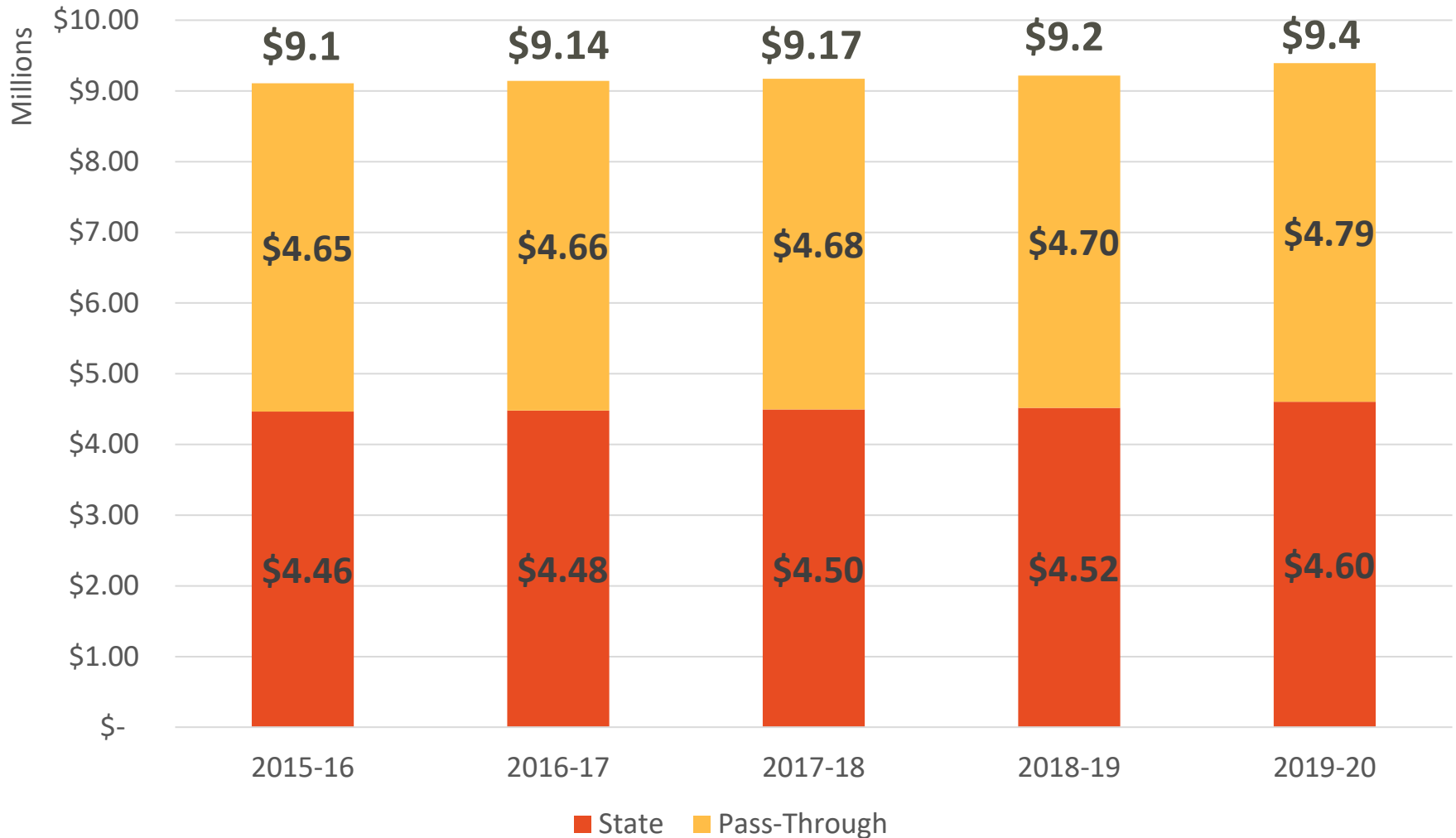


Source: Worksheet I

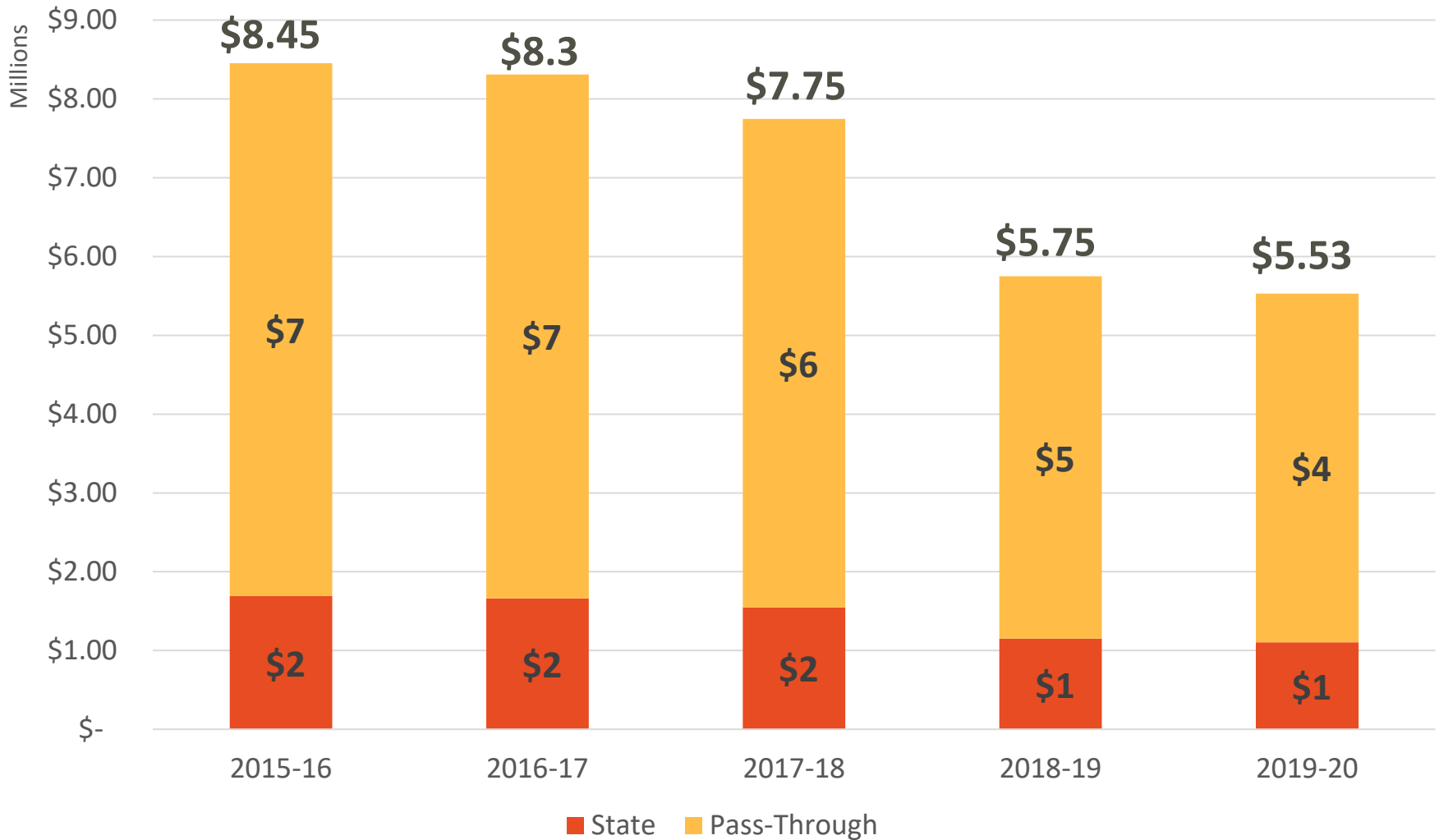
Emergency Management Receipts FY 2019-20



EMPG Five-Year History



HSGP Five-Year History



Risk Management Fees

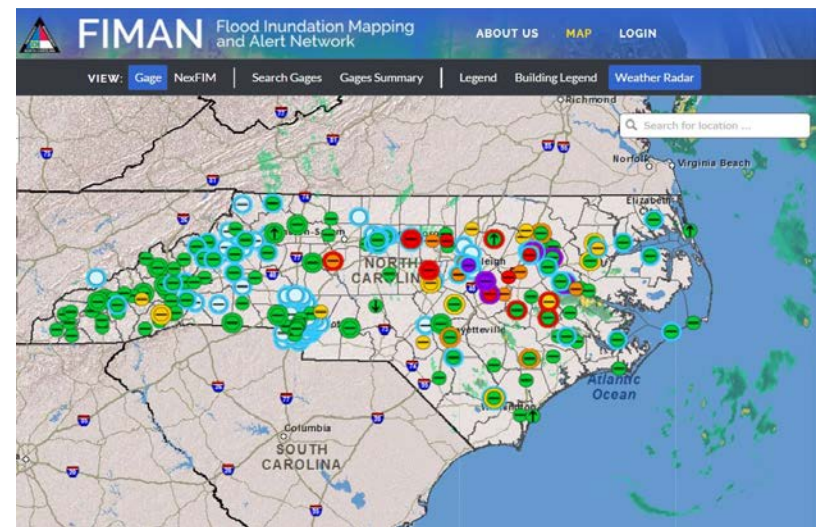
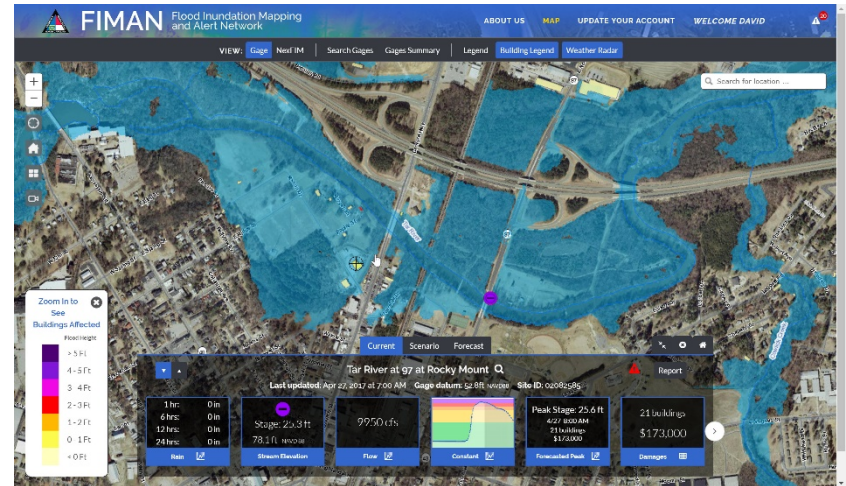
- Radiological Emergency Preparedness
 - Assessed based on number of operating reactors with emergency protective zones impacting the State
 - Fees support preparations for and responses to a potential radiological incident
 - \$3.6m annually (Duke Energy)
- Hazardous Materials
 - \$50 per hazardous substance over 10k lbs
 - \$90 per extremely hazardous substance over EPA's threshold for that substance
 - \$1.2m annually
 - Supports Regional Haz-Mat Response Teams



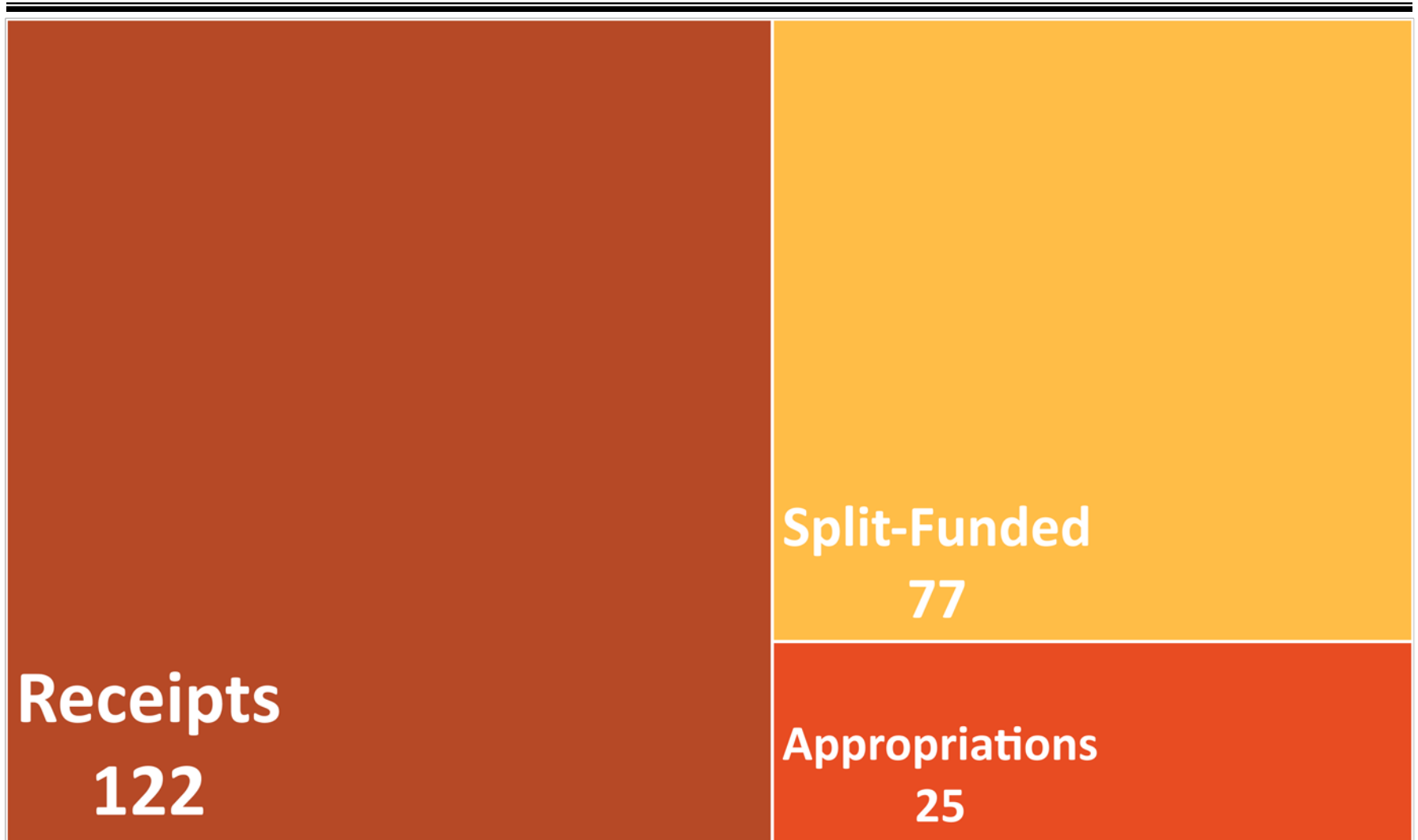
Source: NCEM

Risk Management Fees (Cont.)

- Floodplain Mapping
 - Portion of Register of Deeds Fees (\$3.41 per instrument)
 - \$4m annually
 - Supports Floodplain Mapping program, Flood Inundation Mapping and Alert Network (FIMAN), other funds
- Continuously Operating Reference Station Network (CORS)
 - One-time fee to access network
 - \$229k annually



NCEM FTE by Source



NCEM: Structure

- Bottom-up organization: G.S. 166A-19.15 authorizes counties to establish emergency management agencies
 - “Responsible for emergency management within the geographic limits of such county”
 - All emergency management efforts within the county coordinated by this office
- NC Emergency Management provides oversight, consultation, technical support, standards, grant funds, coordination during emergencies, and other services to these local offices

State Emergency Response Team

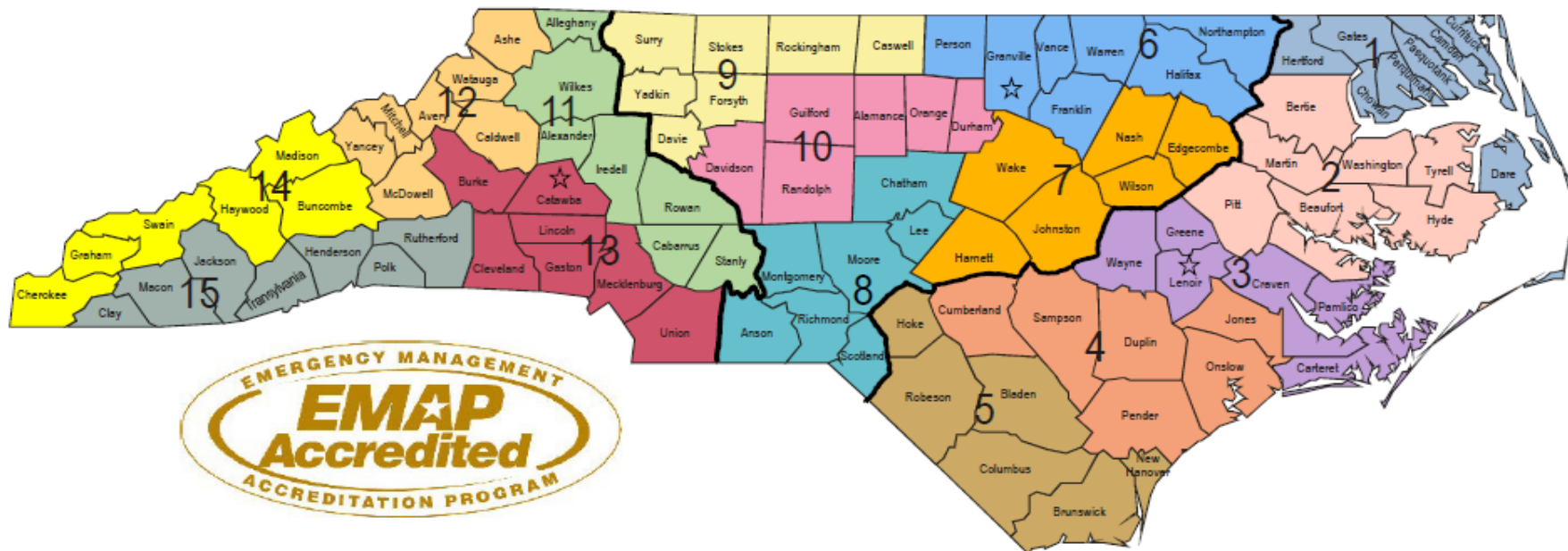
- North Carolina and all counties maintain emergency action plans
- State Emergency Response Team (SERT): Personnel from various state agencies who carry out the State action plan during emergencies





State of North Carolina

Division of Emergency Management



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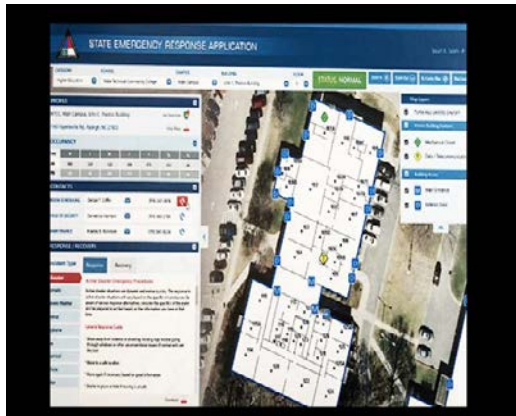
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NCEM Activities and Teams

Operations



Risk Management



Logistics



Planning/Homeland Security



Emergency Management: Recovery

- Operates FEMA Individual Assistance and Public Assistance Programs
- Individual Assistance (IA)
 - Available to U.S. citizens living in the affected area who have serious disaster related needs and expenses from uninsured or under-insured losses resulting from the disaster.
- Public Assistance (PA)
 - Local governments who meet certain requirements
 - Potential projects: Emergency work (Debris removal, emergency protective measures); Permanent work (Roads and bridges, water control, public buildings, utilities, parks)

Hazard Mitigation

- Hazard Mitigation Grant Program (HMGP)
 - Provides funding for projects that reduce future losses to public and private property.
 - HMGP Projects:
 - Elevation
 - Relocation
 - Buyouts/Acquisitions
- Flood Mitigation Assistance Grant program

Disaster Type Determines Funding Availability

Type I Disaster

- Small, localized
- No federal assistance
- Cost share: 25% local
75% State
- Ex. Halifax County
Flooding 2012



Type II Disaster

- More damage over a larger area
- Federal assistance
- Cost share: 75% federal
25% State
- Ex. Hurricane Irene 2011,
April 2011 tornadoes



Type III Disaster

- Widespread, catastrophic damage
- Federal assistance
- Cost share: 75-90% federal
10-25% State
- Ex. Hurricanes Floyd,
Matthew, Florence

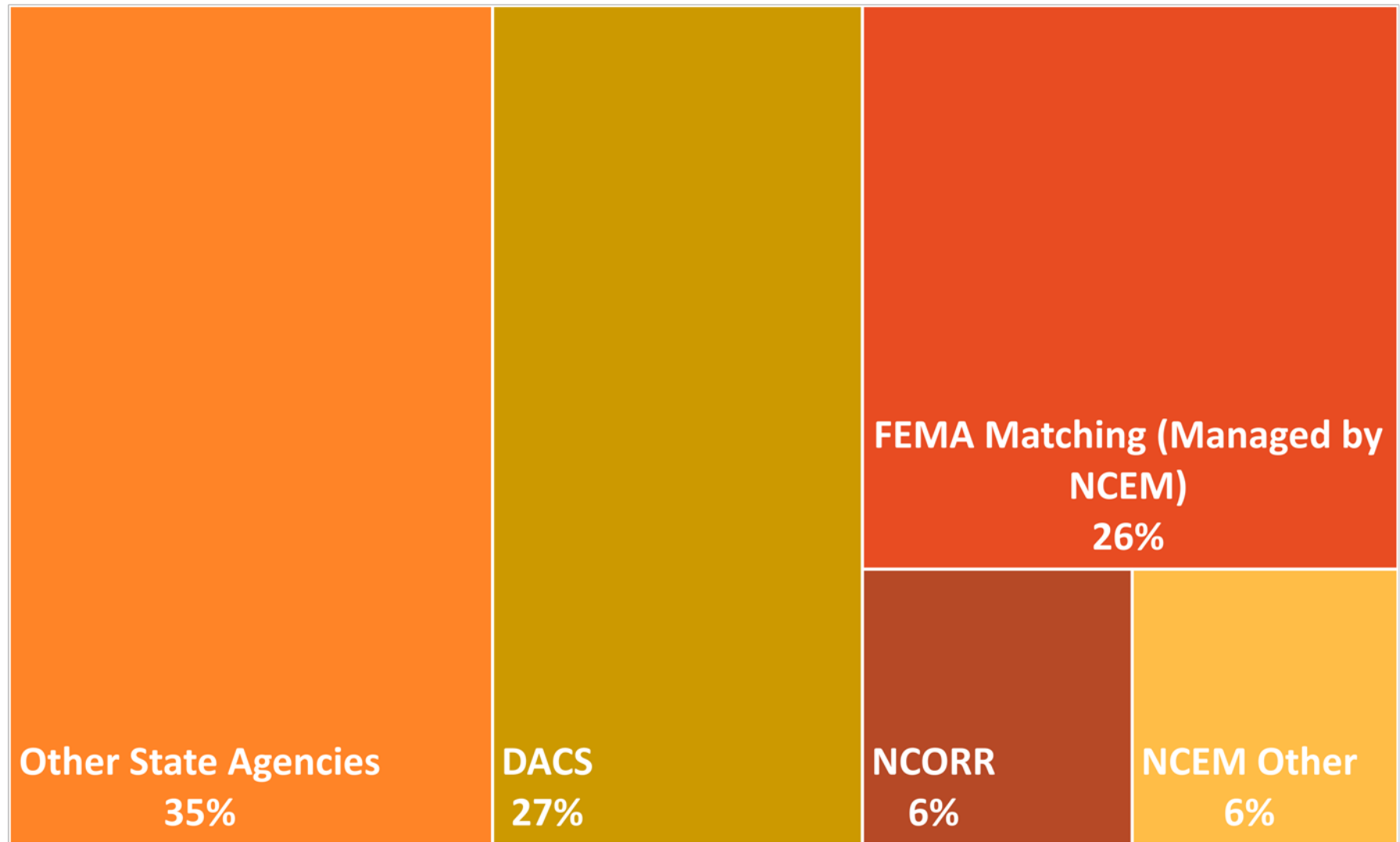


Source: NC DPS

NC General Assembly Disaster Recovery Bills Since 2016

Bill Number	Date of Effectiveness	Colloquial Reference	Total Funding
SL 2016-124	12/15/16	DRA-16	\$201,141,370
SL 2017-119	7/18/17	DRA-17	\$100,000,000
SL 2018-5	6/12/18	DRA-18 (2018 Budget)	\$60,000,000
SL 2018-134	10/3/18	Florence #1/Stopgap	\$56,500,000
SL 2018-136	10/15/18	Florence #2/"Main Bill"	\$398,430,477
SL 2018-138	12/3/18	Florence #3/Ag. Package	\$299,800,000
SL 2019-224	9/18/19	Disaster Mini-Budget /H.966 Items	\$112,603,000
SL 2019-250	11/18/19	Dorian Package	\$196,285,719
SL 2020-97	9/4/20	Earthquake Package	\$68,500,000
<u>Grand Total</u>			<u>\$1,493,260,566</u>

State Disaster Recovery by Agency



NCEM and COVID-19

- Emergency Operations Center has been activated for over one year
 - DPS/EM and DHHS acting as unified command
- PPE and other equipment procurement
 - \$271.9 million in expenditures to date
- Hazard pay for employees (excluding senior staff) required to physically report to the EOC (ended Nov. 2020)

NC Office of Recovery and Resiliency

- Created in Hurricane Florence Disaster Recovery Act (2018)
- 53 positions (time-limited); 10 transferred from NCEM
- Responsibilities:
 - Administer Community Development Block Grant – Disaster Recovery (CDBG-DR) program from Dept. of Housing and Urban Development
 - General disaster recovery coordination and public information
 - Citizen outreach and application case management
 - Audit, finance, compliance, and reporting on disaster recovery funds
 - Program and construction management services



NCORR Major Federal Grants

Funding Stream	Total Award (Millions)	Obligated/Spent (Millions)	Remaining (Millions)	Notes
CDBG-DR Matthew	\$236.5	\$234.7	\$1.8	Can be shifted to Florence/MIT
CDBG-DR Florence	\$542.6	\$18.7	\$523.9	HUD approved State plan August 2020
CDBG-Mitigation (MIT)	\$168	\$16.6	\$151.5	Buyout program
HOPE Program*	\$117.4	\$117.4	\$0	COVID Rental Assistance
Emergency Rental Assist. Program*	\$546.6	\$0	\$546.6	COVID; Awaiting US Treasury guidance

NCORR Activities

- CDBG-DR: Home repairs and reconstruction, manufactured housing replacement, housing elevation
 - 7,500 applications for Matthew/Florence
 - 866 homes under construction or complete
- CDBG-MIT: Buyouts
 - 150 applications to date; more anticipated once in-person applications reopen
- Local government support
 - \$47 million in grants/loans for recovery projects

NCORR: Housing Construction

Bladen County



NCORR and COVID-19

- NC Housing Opportunities and Prevention of Evictions (HOPE) Program
 - Rent and Utility Assistance for low-to-moderate income households impacted by COVID-19
 - Funded using Coronavirus Relief Fund (CRF) and CDBG-CV funds
 - 57,000 awards totaling \$140 million
- Emergency Rental Assistance
 - New program awaiting guidance from Treasury
 - \$546.6 million to be managed by NCORR

NCEM: Recent Budget Actions

- 2017-19 Biennium
 - \$250,000 (NR) for a school panic alarm pilot program
 - \$250,000 (NR) for NC 2-1-1
 - \$380,000 (NR), \$120,000 (R) for asset tracking tool (used by both NCEM and NCNG)
- 2019-21 Biennium
 - 3 FTE in FY 2019-20 increasing to 7 FTE in FY 2020-21: \$503,125 (annualized recurring)
 - \$1 million (NR) for State Search and Rescue

Questions

